TUNNEL DESIGNATION SYSTEM FOR
VIRTUAL PRIVATE NETWORKS

RELATED APPLICATIONS

This application claims priority to United States provisional patent application

5   No. 60/169,502 filed December 7, 1999 and entitled "VPN Tunnel Balancer".

TECHNICAL FIELD

The present application is in the field of Virtual Private Networks and, in
particular, is directed to a secure tunnel "handler" that acts as a gateway for
establishment of tunnels by tunnel clients.

10   ## BACKGROUND

Virtual Private Network (VPN) has emerged as a key technology to transport
private data over public network infrastructure. VPN has two major components --
tunnel technology and security management.

At least two groups of tunnel technologies are available today to encapsulate

15   private data in a format for transportation over a public network such as the Internet.
One group of tunnel technologies provides tunnel services at Layer 2 of the OSI
network model. ("OSI" is Open System Interconnection.) Point-to-Point Tunnel
Protocol (PPTP), Layer 2 Tunnel Protocol (L2TP), and Layer 2 Forwarding (L2F)
belongs to the first group. Another group of tunnel technologies provides tunnel

20 . services at Layer 3 of the OSI network model. For example, IPSEC (IP Security
Protocol) is one of most popular layer-3 tunnel technologies of the second group of
tunnel technologies.

Layer 2-type tunnel technologies make use of the existing PPP features to
perform authentication and addressing and are preferred for remote access applications

25   to support remote users as shown in Figure 1. In Figure 1, Remote user A 102 is a
tunnel client who establishes a tunnel (Tunnel 1 104) to Tunnel Server A 106 after
being authenticated by the Authentication Server A 108. (A "tunnel server" is a tunnel
terminator that terminates a tunnel and forwards traffic within the tunnel to respective
"end systems".) Remote User B 112 uses an Access Concentrator 114 which acts a

30   tunnel client to establish a tunnel (Tunnel 2 116) between Tunnel Server A 106 and
Access Concentrator 114 after Remote User B 112 is authenticated by Authentication

Server B 118 (and the credential of Remote User B 112 is passed by Access
Concentrator 114 and authenticated by Authentication Server A 108). Another kind of
tunnel connectivity is a "between site-to-site tunnel" (Tunnel 3 122 in Figure 1) which
is established between Tunnel Server B 124 and Tunnel Server A 106.

5   As VPN technology becomes more widespread, more VPN enabled networks
are constructed and more applications utilize VPN (for example, for telecommuting,
extranets and intranets). As a result, it becomes desirable to have the ability to "scale
up" tunnel servers to support a larger number of clients and remote users securely and
reliably. While "load balancers" exist conventionally, such load balancers handle a
10   group of tunnel servers but do not keep track of tunnel connections or even understand
the tunnel protocols. Furthermore, it is desirable that the VPN's be reliably available
by, for example, providing a failover and/or backup mechanism and be able to operate
at very high speed. In addition, security (e.g., firewall) is desirably provided to protect
data from unauthorized access. As discussed above, many conventional tunnel servers
15   implement IPSEC protocol. However, IPSEC is a very time consuming operation and
requires a large amount of processing time from tunnel servers, thus limiting the
number of VPN client that can be supported by such tunnel servers.

SUMMARY

        In accordance with the invention, a system and method are provided to couple
20   tunnel servers to tunnel clients executing host applications for use in a virtual private
network (VPN) environment. A receiver receives requests from host applications
executing on the tunnel clients. The requests are addressed to the tunnel coupling
system to establish a VPN tunnel. A processor processes the requests and an indication
of loads on the tunnel servers to establish the VPN tunnels by designating at least one
25   of the tunnel servers to each requested tunnel. A tunnel traffic distributor distributes
tunnel traffic to the tunnel servers based at least part on the designations.

        In additional aspects, an evaluation processor evaluates the tunnel traffic before
the tunnel traffic distributor distributes the tunnel traffic to the tunnel servers. For
example, the evaluation performed by the evaluation processor includes at least
30   performing security functions on the tunnel traffic.

        In yet another aspect, the request processor establishes the VPN tunnel by, in
part, associating each VPN tunnel with characteristics of tunnel traffic for that VPN

tunnel, and the tunnel traffic distributor operates in part based on the associations, without involvement of the host applications.

BRIEF DESCRIPTION OF FIGURES

Figure 1 illustrates a conventional VPN tunneling configuration.

Figure 2 illustrates a VPN tunneling configuration in accordance with an embodiment of the invention.

Figure 3 illustrates the Figure 2 system in a hybrid functional/structural manner.

DETAILED DESCRIPTION

To address the above problems, a tunnel designator in accordance with the invention provides a secure, scalable and reliable VPN tunnel implementation. Figure 2 illustrates a system 200, in accordance with an embodiment of the invention, employing a tunnel designator 202 . The external IP address of the tunnel designator 202 is the well-known IP address of tunnel servers for external networks – a virtual IP address for all tunnel servers. As a tunnel client 204 attempts to establish a tunnel with a tunnel server, it sends a tunnel establishment request to the tunnel designator 202, which acts as a "virtual" tunnel server. That is, the tunnel designator 202 appears to the tunnel client 204 to be itself a tunnel endpoint. The tunnel designator 202 then designates requests to tunnel servers of a tunnel server farm 206. Such designation may be, for example, in accordance with a "load balance" algorithm.

Figure 3 illustrates the components of the system 200 (including the designator 202) in a hybrid structural/functional manner. First, at block 302, it is determined if incoming packets 304 are IPSEC/Firewall protected. If so, block 306 carries out an IPSEC/Firewall inbound operation. Then, at block 308, it is determined if the incoming packets 304 are tunnel traffic. If not, then the designator 202 does not operate on the incoming packets 304. If the incoming packets are tunnel traffic, then the tunnel classifier module 310 operates on the incoming packets 304.

If it is determined that the incoming packets 304 are for a new tunnel (block 312), then a load balance algorithm module 314 is activated to determine which tunnel server of the tunnel server farm 206 is appropriate to handle the newly-requested tunnel. While this description is in terms of a "load balance algorithm", it is within the scope of the invention to employ various tunnel "determination" algorithms. Examples

of algorithms that may be utilized are round robin, weighted round robin, least recent use, and least system load. In accordance with the results of the load balance algorithm 314, a new address map is found (316).

Otherwise, if it is determined that the incoming packets 304 are not for a new tunnel (block 312), then the existing address map is retrieved (block 320). In any event (whether a new address map is found or an existing address map is retrieved), the address mapping module 318 maps the incoming data packets 304 to a tunnel server of the tunnel server farm 206 in accordance with the address map. The address map uses a Network Address Translation to map between the external IP address of the tunnel designator 202 and the IP addresses of the tunnel servers of the tunnel server farm 206.

It should be noted that the decisions (e.g., in blocks 302, 308, 312 and 324) can be cached and then, after the tunnel is established, a "fast path" can be taken via hardware with minimal (or no) host software application intervention. That is, as discussed above, the tunnel designator 202 "understands" VPN protocols and monitors traffic to approve or disapprove VPN traffic according to security policies. Upon approval of a new VPN connection, the tunnel designator 202 assigns the connection to a tunnel server and caches appropriate information in the "connection table" for handling subsequent traffic through the connection. Such a cache mechanism is described in U.S. patent application no. 09/465,123 filed December 16, 1999, hereby incorporated by reference in its entirety.

In use of the system illustrated by Figure 2 and 3, the tunnel designator 202 supports IPSEC security services such as data integrity and end system authentication to protect data in transit, offloading such "compute intensive" security processes transparently by protecting/monitoring data in transit before providing the data to the tunnel servers. In addition, the tunnel designator 202 monitors traffic between the tunnel clients and corresponding tunnel servers and, based on this monitoring, detects (non-intrusively) if a server goes down or comes back on. Such monitoring is carried out non-intrusively.

Thus, this embodiment provides an integrated solution to:

- support various tunnel protocols such as L2TP, PPTP, etc.;
- load balance many tunnel servers for scalability and high availability;
- provide high speed security services to secure data in transit; and

- provide high speed firewall services to block unwanted traffic.

An example is now set forth. In this example, L2TP (Layer 2 Tunnel Protocol) is used to illustrate how the tunnel designator 202 may operate. In accordance with the example, a "virtual" L2TP tunnel server (corresponding to the tunnel designator 202 of Figure 2, for example) registers an IP address as the IP address of the tunnel server. An L2TP tunnel client with IP address C_i and port P_i requests connection to the well-known UDP port 1701 of an L2TP server with an IP address S_r. The server chooses an available port P_r and connects back to the L2TP client at port P_i. The connection (C_i, P_i, S_r, P_r) should be classified as L2TP traffic.

For this example, it is assumed that C_i is "192.128.10.5" and S_r is "200.200.100.1". In the tunnel server farm, there are two tunnel servers, with addresses "10.10.1.1" and "10.10.1.2".

The following table illustrates a mapping between the tunnel designator 202 and tunnel servers of the tunnel server farm 206.

Virtual IP address	Port	Real IP address	port	weight	algorithm
200.200.100.1	1701	10.10.1.1	1701	1	Weighted round robin
		10.10.1.2	1701	2	

For the example, it should be assumed that a weighted round robin algorithm is in use to select a tunnel server (and that 10.10.1.2 is selected) from the tunnel server farm 206. During the set up of a new tunnel, the selected tunnel server chooses an available port P_r, connects back to the client via chosen port P_i, and then updates the mapping table shown below:

packet	SrcIP	port	dstIP	dstPort
incoming	192.128.10.5	Pi	10.10.1.2	Pr
outgoing	10.10.1.2	Pr	192.128.10.5	Pi

After a tunnel is established, the tunnel designator forwards subsequent traffic of the same tunnel to the designated tunnel server according to the mapping table.